I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: March 5, 2007 Name: Nancy Doble Signature: Many Avale

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

AR 0 7 2007

Application of John W. Evans, et al.

Examiner: N. Ogden

Serial No.: 10/629,642

) Confirmation No.:

6851

Filing Date:

July 29, 2003

Art Unit:

1751

Title: Non-Aqueous Heat Transfer Fluid and Use Thereof

Docket No.:

97541.00022

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. § 1.56, the materials listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. For foreign language references, corresponding English language abstracts are provided where available.

Pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i), copies of the U.S. Patent and U.S. Patent Application references set forth and cited on form PTO/SB/08 are not enclosed herewith.

It is respectfully requested the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks.

In accordance with 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made; an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b); or an admission that the information cited in the statement is, or is considered to be, prior art.

A first Office Action has issued in this application; however, prosecution has not yet closed. Therefore, pursuant to § 1.97(c), authorization is hereby given to charge the \$180.00 fee associated with the submission of an Information Disclosure Statement to Deposit Account No.

180.00 DA

USSN 10/629,642 Page 2

50-3569. If any additional fee is required, please accept this as authorization to charge Deposit Account No. 50-3569.

Respectfully submitted,

Date: March 5, 2007

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO 10/629,642 Application Number Filing Date July 29, 2003 INFORMATION DISCLOSURE First Named Inventor John W. Evans STATEMENT BY APPLICANT Art Unit 1751 (Use as many sheets as necessary) **Examiner Name** Ogden, N.

Attorney Docket Number 97541.00022 of Sheet

		U.S	. PATENT DOCUM	MENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, V Relevant Passages or Rel Figures Appear	
	1	US- 5,273,673	12/28/1993	Samir S. Ashrawi, et al.		
		US-				
		FORE	IGN PATENT DOC	TIMENTS		
Constant	1 0:4-		Publication Date	Name of Patentee or	Pages, Columns, Lines,	I
Examiner Initials*	Cite No. ¹	Foreign Patent Document	MM-DD-YYYY	Applicant of Cited Document	Where Relevant	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	, , , ,		Passages or Relevant Figures Appear	Т6
	1	Japanese Patent Kokai	09/26/1980	Wako Pure Chem	•	
	1	Publication No. 55-125181		Ind. Ltd., et al.		
	2	Japanese Patent Kokai	12/08/1979	Daihatsu Motor		
		Publication No. 54-155985		Co., Ltd.		
	3	Japanese Patent Kokai	02/08/1994	First Brands		
	1	Publication No. 06-033274		Corporation		
	4	Japanese Patent Kokai	07/16/1996	Tokyo Fine Chem		
		Publication No. 08-183950		KK		
	5	Japanese Patent Kokai	07/06/1994	Texaco Chemical		
	1	Publication No. 06-158034		Company		<u> </u>

	P	Publication No. 03-031388	
Examiner Initials*	Cite No.1	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume	itle of T ²
		numbers(s), publisher, city and/or country where published.	

04/02/1996

02/12/1991

Japanese Patent Kokai

Japanese Patent Kokai

Publication No. 08-085782

6

Nippon Chem

Nippon Oil Co. Ltd.

Kogyo KK

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸Applicant is to place

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.